

COMPLIANCE CERTIFICATE

CIN No. L52110DL1985PLC019893

Nominal Capital : Rs. 105,00,000/-

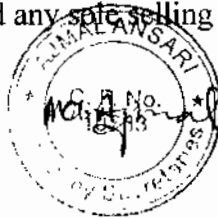
To,
The Members
M/s Shiv Kamal Impex Limited.
P-7, Green Park Extension
New Delhi 110 016

I have examined the registers, records, books and papers of **M/s. Shiv Kamal Impex Limited** (hereinafter referred to as "the Company") as required to be maintained under the Companies Act, 1956 (hereinafter referred to as "the Act") and the rules made there under and also the provisions contained in the Memorandum and Articles of Association of the Company for the financial year ended on **31st March, 2013**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that in respect of the aforesaid financial year :

1. the Company has kept and maintained all the registers as stated in **Annexure 'A'** to this certificate, as per the provisions and the rule made thereunder and all entries therein have been duly recorded.
2. the Company has duly filled the forms and returns as stated in **Annexure 'B'** to this certificate, with the Registrar of Companies, Regional Director, Central Government, Company Law Board or other authorities with in the time prescribed under the Act and rules made thereunder.
3. the Company, being a Public Limited Company, comments are not required:
4. the Board of Directors duly met **Seven (7)** times on **04.04.2012, 30.04.2012, 30.07.2012, 30.08.2012, 30.10.2012, 30.01.2013, and 29.03.2013** in respect of which meeting proper notices were given and proceeding were properly recorded and signed including the circular resolutions passed in the Minutes Book maintained for the purpose.
5. The Company has not closed/ was not required to close its Registers of Members or Debentures holders during the financial year.
6. the annual general meeting for the financial year ended on **31st March, 2012** was held on **28th September, 2012** after giving due notice to the members and the resolution passed thereat were duly recorded in the Minutes Book maintained for the purpose.



7. No Extra ordinary general meeting were/were held during the financial year under scrutiny.
8. The Company has not advanced any loans to its directors or persons or firms or companies referred to under section 295 of the Act.
9. the Company has not entered into any contracts falling within the purview of Section 297 of the Act in respect of contract specified in that section.
10. The Company has made necessary entries in the register maintained under Section 301 of the Act.
11. As there were no instances falling within the purview of Section 314 of the Act, the Company has not obtained any approvals from the Board of Directors, members or Central Government.
12. The Company has not issued any duplicate share certificates during the financial year.
13. the Company has :
 - (i) not allotted / transferred / transmitted any security, as there was no allotment/ transfer/ transmission of securities taken place during the financial year.
 - (ii)
 - (iii) not deposited any amount in a seprate bank account as no dividend declared during the financial year.
 - (iv) not posted warrants to any members of the Company as no dividend was declared during the financial year.
 - (v) no amounts in unpaid dividend account, application money due for refund, matured deposits, matured debentures and the inetrest accrued thereon which have remained unclaimed or unpaid for a period of seven years, therefore, no such amount has been transferred in Investor Education and Protection Fund.
 - (vi) duly complied with the requirements of section 217 of the Act .
14. the Board of Directors of the Company is duly constituted and there were no appointment of directors, additional directors, alternate directors and directors to fill casual vacancies have been made during the year under scruitny.
15. the company has not appointed any Managing Director / Whole Time Director / Manager during the financial year.
16. the Company has not appointed any spic selling agent during the financial year.



17. the Company was not required to obtain any approvals of the Central Government, Company Law Board, Regional Director, Registrar and/or such other authorities prescribed under the various provision of the Act during the financial year.
18. the Directors have disclosed their interest in other firms/companies to the Board of Directors pursuant to the provisions of the Act and the rules made thereunder.
19. the Company has not issued any shares, debentures, or other securities during the financial year.
20. the Company has not bought back any shares during the financial year.
21. there was no redemption of preferential shares/ debentures during the financial year.
22. there were no transaction necessitating the Company to keep in abeyance the right to dividend, right shares and bonus shares pending registration of transfer of shares.
23. the Company has not invited/accepted any deposits including any unsecured loan falling within the purview of section 58A of the Act, during the financial year.
24. The Company has not borrowed any money from directors, members, public, financial institutions, banks and others during the financial year ending on 31st March, 2013.
25. The company has made loans and Investments, or given guarantees or provided securities to other bodies corporate in compliance with the provisions of the Act and has made necessary entries in the register kept for this purpose.
26. the Company has not altered the provisions of the Memorandum with respect to situation of the Company's Registered Office from one State to another during the year under scrutiny.
27. the Company has not altered the provisions of the Memorandum with respect to the Objects of the Company during the year under scrutiny.
28. the Company has not altered the provisions of the Memorandum with respect to the Objects of the Company during the year under scrutiny.
29. the Company has not altered the provisions of the Memorandum with respect to the Share Capital of the Company during the year under scrutiny.
30. the Company has not altered its Articles of Associations during the financial year.



31. As per the information and explanations given to us by the management and as per our examination of the records we have not come across with any information or document which suggest that prosecution proceedings initiated against or show cause notices received by the company and fines or penalties or any other punishment imposed on the company during the financial year for any offence under the Act.
32. the Company has not received any money as security from its employees during the financial year.
33. the Company has not deducted any contribution towards provident fund during the financial year.

Place : Delhi

For Ajmal Ansari
(Companies Secretaries)

Date : 02.09.2013

CS Ajmal Ansari
(Proprietor)
C.P. No. : 10783



Annexure- A

Register as maintained by the Company

Statutory Registers

1. Register of Members U/S 150 of the Act;
2. Minutes Book of Board Meeting U/S 193 of the Act;
3. Minutes Book of General Meeting of the Members of the Company U/S 193 of the Act;
4. Books of Account U/S 209 (1) (a),(b),(c) of the Act;
5. Register of Particulars of Contracts in which Directors are Interested U/S 301;
6. Register of Directors/ Managing Director and Secretary U/S 303 of the Act;
7. Register of Directors' Shareholding U/S 307 of the Act.



Annexure- B

Forms and Returns as filed by the Company with the Registrar of Companies, Regional Director, Central Government, or other Authorities during the financial period ending on 31st March, 2013

S. No.	Form No. / Return	File under section	For / Made	Date of Filing	Whether filed with in prescribed time Yes / No	If delay in filing whether requisite additional fee paid. Yes / No
1.	Form 23AC and 23ACA, Balance Sheet Profit & Loss Account, Directors' Report Auditors' Report	220	Financial Year ended on 31.03.2012	15.02.2013 vide ack. No. Q06703748	No	Yes
2.	Form 66 Compliance Certificate	383A	Financial Year ended on 31.03.2012	28.11.2012 vide ack. No. Q03213840	Yes	N.A.
3.	Form 20B Annual Return	159 & 161	Upto date of AGM for 2012 i.e 29.09.2012.	28.11.2012 vide ack. No. Q03179751	Yes	N.A.

